

# Series lectures of phase-field model

## 09. Multi-phase model: KKS model

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- 1 Phase-field model for multi-phase model
  - Kim-Kim-Suzuki model

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# Kim-Kim-Suzuki model

- In Kim-Kim-Suzuki(KKS) model, the free energy density  $f(c, \phi)$ , where  $\phi$  is phase-field to distinguish  $\alpha$  and  $\beta$  phase.
- $c$  is the composition of the system which is mixture of  $\alpha$  and  $\beta$  phases.
- $c_\alpha$  and  $c_\beta$  are compositions of  $\alpha$  and  $\beta$  phase, respectively.
- Concentrations and order parameter depend on position  $\mathbf{r}$  and time  $t$ . We implicitly represent it.
- The free energy functional is

$$f(c, \phi) = h(\phi)f^\alpha(c_\alpha) + [1 - h(\phi)]f^\beta(c_\beta) + wg(\phi) \quad (1)$$

- The composition of the system is

$$c(\mathbf{r}, t) = h(\phi)c_\alpha(\mathbf{r}, t) + [1 - h(\phi)]c_\beta(\mathbf{r}, t) \quad (2)$$

- Under the thermodynamic equilibrium is assumed by

$$\frac{df^\alpha(c_\alpha)}{dc_\alpha} = \frac{df^\beta(c_\beta)}{dc_\beta} \quad (3)$$



- The interpolation function  $h(\phi)$  have to be satisfied

$$h(\phi = 0) = 0 \quad h(\phi = 1) = 1$$

and

$$h'(\phi = 0) = 0 \quad h'(\phi = 1) = 0$$

we can choose

$$h(\phi) = \phi^3(10 - 15\phi + 6\phi^2) \quad \text{or} \quad h(\phi) = \phi^2(3 - 2\phi)$$

- The double-well potential is

$$g(\phi) = \phi^2(1 - \phi)^2$$

# Governing equation using dilute solution approximation

- Introduce the notation for convenience.

$$f_c(c, \phi) = \frac{\partial f(c, \phi)}{\partial c} \quad f_\phi = \frac{\partial f(c, \phi)}{\partial \phi} \quad f_c^\alpha(c_\alpha) = \frac{df^\alpha}{dc_\alpha}$$

$$f_{cc}^\alpha = \frac{d^2 f^\alpha(c_\alpha)}{dc_\alpha^2} \quad f_{cc}^\beta = \frac{d^2 f^\beta(c_\beta)}{dc_\beta^2} \quad f_{cc} = \frac{\partial^2 f(c, \phi)}{\partial c^2}$$

- Two equations are given by

$$\frac{\partial \phi(\mathbf{r}, t)}{\partial t} = M_\phi (\epsilon^2 \nabla^2 \phi - f_\phi) \quad (4)$$

$$\frac{\partial c(\mathbf{r}, t)}{\partial t} = \nabla (M_d \nabla f_c) = \nabla \left( \frac{D(\phi)}{f_{cc}} \nabla f_c \right) \quad (5)$$



# Governing equation using dilute solution approximation

- Take partial derivative with respect to  $c$  of Eq. 2,

$$1 = h(\phi) \frac{\partial c_\alpha}{\partial c} + [1 - h(\phi)] \frac{\partial c_\beta}{\partial c}, \quad (6)$$

- Take partial derivative with respect to  $c$  of Eq. 3, we have

$$f_{cc}^\alpha \left( \frac{\partial c_\alpha}{\partial c} \right) = f_{cc}^\beta \left( \frac{\partial c_\beta}{\partial c} \right)$$

proceed to

$$\frac{\partial c_\beta}{\partial c} = \frac{f_{cc}^\alpha}{f_{cc}^\beta} \left( \frac{\partial c_\alpha}{\partial c} \right)$$

- Plug it into Eq. 6,

$$\frac{\partial c_\alpha}{\partial c} = \frac{f_{cc}^\beta}{[1 - h(\phi)] f_{cc}^\alpha + h(\phi) f_{cc}^\beta} \quad (7)$$



# Governing equation using dilute solution approximation

- Consistently,

$$\frac{\partial c_\beta}{\partial c} = \frac{f_{cc}^\alpha}{[1 - h(\phi)] f_{cc}^\alpha + h(\phi) f_{cc}^\beta} \quad (8)$$

- By similar ways,

$$\frac{\partial c_\alpha}{\partial \phi} = \frac{h'(\phi)(c_\alpha - c_\beta) f_{cc}^\beta}{[1 - h(\phi)] f_{cc}^\alpha + h(\phi) f_{cc}^\beta} \quad (9)$$

$$\frac{\partial c_\beta}{\partial \phi} = \frac{h'(\phi)(c_\alpha - c_\beta) f_{cc}^\alpha}{[1 - h(\phi)] f_{cc}^\alpha + h(\phi) f_{cc}^\beta} \quad (10)$$





# Governing equation using dilute solution approximation

- Take partial derivative with respect to  $\phi$  of Eq. 1, under equilibrium

$$\frac{df^\alpha(c_\alpha)}{dc_\alpha} = \frac{df^\beta(c_\beta)}{dc_\beta} = \tilde{\mu}$$

it have to be diffusion potential  $\tilde{\mu}$ . We have

$$\begin{aligned} f_\phi(c, \phi) &= \frac{\partial f(c, \phi)}{\partial \phi} + h(\phi)\tilde{\mu}\frac{\partial c_\alpha}{\partial \phi} + [1 - h(\phi)]\tilde{\mu}\frac{\partial c_\beta}{\partial \phi} \\ &= -h'(\phi) \left[ f^\alpha(c_\alpha) - f^\beta(c_\beta) \right] + wg'(\phi) \\ &\quad + \underbrace{\tilde{\mu} \left[ h(\phi)\frac{\partial c_\alpha}{\partial \phi} + [1 - h(\phi)]\frac{\partial c_\beta}{\partial \phi} \right]}_{h'(\phi)(c_\alpha - c_\beta)} \\ &= -h'(\phi) \left[ f^\alpha(c_\alpha) - f^\beta(c_\beta) - \tilde{\mu}(c_\alpha - c_\beta) \right] + wg'(\phi) \end{aligned} \tag{11}$$



# Governing equation using dilute solution approximation

- Take the derivative with respect to  $c$  of Eq. 3,

$$f_{cc}(c, \phi) = f_{cc}^{\alpha} \left( \frac{\partial c_{\alpha}}{\partial c} \right) = \frac{f_{cc}^{\alpha} f_{cc}^{\beta}}{[1 - h(\phi)] f_{cc}^{\alpha} + h(\phi) f_{cc}^{\beta}}$$

- Take the derivative with respect to  $\phi$  of Eq. 3,

$$f_{c\phi}(c, \phi) = f_{cc}^{\alpha} \left( \frac{\partial c_{\alpha}}{\partial \phi} \right) = \frac{f_{cc}^{\alpha} f_{cc}^{\beta} h'(\phi) (c_{\alpha} - c_{\beta})}{[1 - h(\phi)] f_{cc}^{\alpha} + h(\phi) f_{cc}^{\beta}}$$

- Therefore, we have

$$\frac{f_{c\phi}(c, \phi)}{f_{cc}(c, \phi)} = h'(\phi) (c_{\alpha} - c_{\beta}) \quad (12)$$



# Governing equation using dilute solution approximation

- Take partial derivative with respect to  $c$  of Eq. 1 with  $f_c^\alpha = f_c^\beta = \tilde{\mu}$ , we have the diffusion potential  $\tilde{\mu}$ .

$$\begin{aligned} f_c(c, \phi) &= h(\phi)\tilde{\mu}\frac{\partial c_\alpha}{\partial c} + [1 - h(\phi)]\tilde{\mu}\frac{\partial c_\beta}{\partial c} \\ &= \frac{h(\phi)\tilde{\mu}f_{cc}^\beta + [1 - h(\phi)]\tilde{\mu}f_{cc}^\alpha}{[1 - h(\phi)]f_{cc}^\alpha + h(\phi)f_{cc}^\beta} = \tilde{\mu} = f_c^\beta = f_c^\alpha \end{aligned}$$



# Governing equations for KKS equation

- With Eqs. 5 and 12,

$$\begin{aligned}\frac{\partial c(\mathbf{r}, t)}{\partial t} &= \nabla \cdot \frac{D(\phi)}{f_{cc}} \nabla f_c \\ &= \nabla \cdot \frac{D(\phi)}{f_{cc}} (f_{cc} \nabla c + f_{c\phi} \nabla \phi) \\ &= \nabla \cdot D(\phi) \nabla c + \nabla \cdot \frac{D(\phi) f_{c\phi}}{f_{cc}} \nabla \phi \\ &= \nabla \cdot D(\phi) \nabla c + \nabla \cdot D(\phi) h'(\phi) (c_\alpha - c_\beta) \nabla \phi\end{aligned}$$

- The first term in RHS indicates the diffusion by concentration gradient and second term indicates solute redistribution at the interface.



# Governing equations for KKS equation

- With Eq. 2.

$$\begin{aligned}\frac{\partial c(\mathbf{r}, t)}{\partial t} &= \nabla \cdot D(\phi) \nabla \left[ h(\phi) c_\alpha + (1 - h(\phi)) c_\beta \right] \\ &+ \nabla \cdot D(\phi) h'(\phi) (c_\alpha - c_\beta) \nabla \phi \\ &= \nabla \cdot D(\phi) \left[ h(\phi) \nabla c_\alpha + (1 - h(\phi)) \nabla c_\beta \right]\end{aligned}$$

- The change in solute concentration at a point, for example in a binary system, is determined by the sum of the solute changes in the two phases.
- With Eqs. 4 and 11,

$$\frac{1}{M_\phi} \frac{\partial \phi}{\partial t} = \varepsilon^2 \nabla^2 \phi - w g'(\phi) + \underbrace{\left[ f^\alpha(c_\alpha) - f^\beta(c_\beta) - (c_\alpha - c_\beta) \tilde{\mu} \right]}_{\text{thermodynamic driving force}} h'(\phi)$$



# Equilibrium concentration of KKS model

- In binary system, in one-dimensional system three conditions have to be satisfied under the equilibrium.

$$\frac{d}{dx} \left( M_d \frac{d\tilde{\mu}}{dx} \right) = 0$$

$$\varepsilon^2 \frac{d^2 \phi}{dx^2} - wg'(\phi) + h'(\phi) \left[ f^\alpha(c_\alpha^e) - f^\beta(c_\beta^e) - (c_\alpha^e - c_\beta^e) \tilde{\mu}^e \right] = 0 \quad (13)$$

$$\tilde{\mu} = \frac{df^\beta(c_\beta)}{dc_\beta} = \frac{df^\alpha(c_\alpha)}{dc_\alpha}$$

- Integrate the second equation with respect to  $x$ ,

$$\frac{\varepsilon^2}{2} \left( \frac{d\phi}{dx} \right)^2 \Big|_{-\infty}^{+\infty} - wg(\phi) \Big|_1^0 + h(\phi) \Big|_1^0 \left[ f^\alpha(c_\alpha^e) - f^\beta(c_\beta^e) - (c_\alpha^e - c_\beta^e) \tilde{\mu}^e \right] = 0$$



# Equilibrium concentration of KKS model

- First and second terms are gone, we have

$$f^\alpha(c_\alpha^e) - f^\beta(c_\beta^e) - (c_\alpha^e - c_\beta^e)\tilde{\mu}^e = 0 \quad (14)$$

it is

$$\tilde{\mu}^e = f_c^\alpha = f_c^\beta = \frac{f^\alpha(c_\alpha^e) - f^\beta(c_\beta^e)}{c_\alpha^e - c_\beta^e}$$

which converges to the common tangent condition, which is given by thermodynamic equilibrium condition.

- The concentration within the interface is given by

$$\tilde{c}^e = h(\phi)c_\beta^e + (1 - h(\phi))c_\alpha^e$$



# Interface width of KKS model

- When Eq. 14 is satisfied, Eq. 13 becomes under the equilibrium

$$\varepsilon^2 \frac{d^2 \phi}{dx^2} - wg'(\phi) = 0 \quad (15)$$

which differs from WBM model. In KKS model,  $f_\phi(c, \phi)$  term disappears. The solution of Eq. 15 is

$$dx = -\frac{\varepsilon}{\sqrt{2wg(\phi)}} d\phi$$

- When the order parameter varies from  $\phi_a$  to  $\phi_b$  at interface, the interface width  $2\xi$  is

$$2\xi = \frac{\varepsilon}{\sqrt{2w}} \int_{\phi_a}^{\phi_b} \frac{d\phi}{\sqrt{g(\phi)}}$$





# Interface width of KKS model

- Let

$$g(\phi) = \phi^2(1 - \phi)^2$$

then we have the solution

$$\phi(x) = \frac{1}{2} \left[ 1 - \tanh \left( \frac{\sqrt{w}}{\sqrt{2}\epsilon} x \right) \right]$$

- When

$$\phi_a = 0.1 \quad \phi_b = 0.9$$

we have

$$2\xi = \frac{4\epsilon}{\sqrt{2w}} \ln 3$$



# Interface energy of KKS model

- For KKS model,

$$\frac{G^{\text{xs}}}{A} = \int_{-\infty}^{\infty} \left[ h(\phi) f^{\beta}(c_{\beta}^{\text{e}}) + (1 - h(\phi)) f^{\alpha}(c_{\alpha}^{\text{e}}) + wg(\phi) + \left( \frac{\varepsilon^2}{2} \frac{d\phi}{dx} \right)^2 \right] dx - \int_{-\infty}^0 f^{\beta}(c_{\beta}^{\text{e}}) dx - \int_0^{\infty} f^{\alpha}(c_{\alpha}^{\text{e}}) dx$$

- The number of excessive solute atoms per area is

$$\begin{aligned} \frac{\Gamma^{\text{xs}}}{A} &= \frac{1}{v_m} \left[ \int_{-\infty}^{\infty} \tilde{c}(\phi) dx - \int_{-\infty}^0 c_{\beta}^{\text{e}} dx - \int_0^{\infty} c_{\alpha}^{\text{e}} dx \right] \\ &= \frac{1}{v_m} \left[ \int_{-\infty}^{\infty} \left( h(\phi) c_{\beta}^{\text{e}} + (1 - h(\phi)) c_{\alpha}^{\text{e}} \right) dx \right. \\ &\quad \left. - \int_{-\infty}^0 c_{\beta}^{\text{e}} dx - \int_0^{\infty} c_{\alpha}^{\text{e}} dx \right] \end{aligned}$$



# Interface width of KKS model

- Proceed to

$$v_m \frac{\Gamma^{xs}}{A} \tilde{\mu}^e = \left[ \int_{-\infty}^{\infty} \left( h(\phi) c_{\beta}^e + (1 - h(\phi)) c_{\alpha}^e \right) dx - \int_{-\infty}^0 c_{\beta}^e dx - \int_0^{\infty} c_{\alpha}^e dx \right] \frac{f^{\alpha}(c_{\alpha}^e) - f^{\beta}(c_{\beta}^e)}{c_{\alpha}^e - c_{\beta}^e}$$

- Then the interface energy is

$$\sigma = \varepsilon^2 \int_{-\infty}^{\infty} \left( \frac{d\phi}{dx} \right)^2 dx = -\varepsilon^2 \int_0^1 \left( \frac{d\phi}{dx} \right) d\phi = \varepsilon \sqrt{2w} \int_0^1 \sqrt{g(\phi)} d\phi \quad (16)$$

- Compare to WBM model,  $W(\phi)$  term disappears!



# Gibbs-Thompson effect under the equilibrium

- When spherical  $R$   $\beta$  phase is under the equilibrium on the  $\alpha$  phase,

$$\tilde{\mu}^{e,R} = \frac{df^\alpha(c_\alpha^{e,R})}{dc} = \frac{df^\beta(c_\beta^{e,R})}{dc}$$

- When we transfer the origin of coordinates from the center of curvature to the center of interface  $\phi = 1/2$ , we have

$$\begin{aligned} \frac{\varepsilon^2}{R+r} \frac{d\phi}{dr} + \varepsilon^2 \frac{d^2\phi}{dr^2} - wg'(\phi) + h'(\phi) \left( f^\alpha(c_\alpha^{e,R}) - f^\beta(c_\beta^{e,R}) \right) \\ - (c_\alpha^{e,R} - c_\beta^{e,R}) \tilde{\mu}^{e,R} = 0 \end{aligned}$$



# Gibbs-Thompson effect under the equilibrium

- Integrate over whole space, second and third terms are vanished,

$$\begin{aligned}\varepsilon^2 \int_{-\infty}^{\infty} \frac{1}{R+r} \left( \frac{d\phi}{dr} \right)^2 dr &= \frac{\varepsilon^2}{R} \int_{-\infty}^{\infty} \frac{1}{1+r/R} \left( \frac{d\phi}{dr} \right)^2 dr \\ &= \frac{\varepsilon^2}{R} \int_{-\infty}^{\infty} \left( 1 - \frac{r}{R} \right) \left( \frac{d\phi}{dr} \right)^2 dr + \mathcal{O}(\delta_2^2) \\ &= \frac{\varepsilon^2}{R} \int_{-\infty}^{\infty} \left( \frac{d\phi}{dr} \right)^2 dr - \frac{\varepsilon^2}{R^2} \int_{-\infty}^{\infty} r \left( \frac{d\phi}{dr} \right)^2 dr + \mathcal{O}(\delta_2^2)\end{aligned}$$

- If  $g(\phi)$  is symmetric with respect to  $\phi = 1/2$ ,  $d\phi/dr$  is odd function with respect to  $r$ , therefore,  $r(d\phi/dr)^2$  is an even function, therefore, the second term is gone.
- The first integration of RHS becomes  $\sigma/R$ .



- Neglecting  $\delta_2^2$  term and more,

$$\frac{\sigma}{R} = f^\alpha(c_\alpha^{e,R}) - f^\beta(c_\beta^{e,R}) - (c_\alpha^{e,R} - c_\beta^{e,R})\tilde{\mu}^{e,R}$$

which converges to result of sharp interface analysis.

- KKS model reproduce Gibbs-Thomson effect with conditions
  - 1 The curvature radius of interface  $R$  is the distance from the origin of curvature to  $\phi = 1/2$
  - 2  $g(\phi)$  is symmetric with respect to  $\phi = 1/2$ .
  - 3 The interface have to be narrow enough.